



Europäisches  
Patentamt

European  
Patent Office

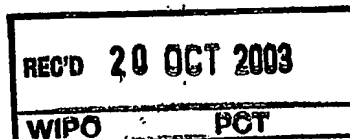
Office européen  
des brevets

10 / 533058

PCT / IB 03 / 04462

08.10.03

27 APR 2005



Bescheinigung

Certificate

Attestation

Die angehefteten Unterla-  
gen stimmen mit der  
ursprünglich eingereichten  
Fassung der auf dem näch-  
sten Blatt bezeichneten  
europäischen Patentanmel-  
dung überein.

The attached documents  
are exact copies of the  
European patent application  
described on the following  
page, as originally filed.

Les documents fixés à  
cette attestation sont  
conformes à la version  
initialement déposée de  
la demande de brevet  
européen spécifiée à la  
page suivante.

Patentanmeldung Nr. Patent application No. Demande de brevet n°

02079609.0

**PRIORITY DOCUMENT**  
SUBMITTED OR TRANSMITTED IN  
COMPLIANCE WITH  
RULE 17.1(a) OR (b)

Der Präsident des Europäischen Patentamts;  
Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets  
p.o.

R C van Dijk

BEST AVAILABLE COPY



Anmeldung Nr:  
Application no.: 02079609.0  
Demande no:

Anmeldetag:  
Date of filing: 05.11.02  
Date de dépôt:

Anmelder/Applicant(s)/Demandeur(s):

Koninklijke Philips Electronics N.V.  
Groenewoudseweg 1  
5621 BA Eindhoven  
PAYS-BAS

Bezeichnung der Erfindung/Title of the invention/Titre de l'invention:  
(Falls die Bezeichnung der Erfindung nicht angegeben ist, siehe Beschreibung.  
If no title is shown please refer to the description.  
Si aucun titre n'est indiqué se référer à la description.)

PLL using unbalanced quadricorrelator

In Anspruch genommene Priorität(en) / Priority(ies) claimed /Priorité(s)  
revendiquée(s)  
Staat/Tag/Aktenzeichen/State/Date/File no./Pays/Date/Numéro de dépôt:

Internationale Patentklassifikation/International Patent Classification/  
Classification internationale des brevets:

H03L/

Am Anmeldetag benannte Vertragsstaaten/Contracting states designated at date of  
filing/Etats contractants désignées lors du dépôt:

AT BE BG CH CY CZ DE DK EE ES FI FR GB GR IE IT LI LU MC NL PT SE SK TR

## PLL using unbalanced quadricorrelator

The invention relates to a Phase Locked Loop (PLL) comprising a frequency detector including an unbalanced quadricorrelator.

PLL circuits are widely used in modern communication circuits for tuning receivers. Normally a PLL comprises a voltage-controlled oscillator (VCO), a frequency control loop having a frequency detector and a phase control loop including a phase detector. When the incoming signal in the PLL is a high-speed Non Return to Zero (NRZ) random signal, phase detectors and frequency detectors have the difficult task to work on random transitions of the incoming signal. PLLs using NRZ signals are often called data and clock recovery circuits (DCR). Between transitions the phase and frequency detectors should maintain the phase error and frequency error information such that the voltage controlled oscillator is not pulled away from lock when transitions are missing.

A known implementation of the frequency detector is the quadricorrelator concept as in "Digital Logic Implementation of Quadricorrelators for Frequency detectors", by C. G. Yoon, S. Y. Lee and C. W. Lee, IEEE Proc. of 37<sup>th</sup> Midwest Symposium on Circuits and Systems, 1994, pp. 757 – 760. A model for an unbalanced digital quadricorrelator is an unbalanced analog quadricorrelator as shown in Fig. 1. The analog quadricorrelator comprises a first pair of mixers M1, M2 supplied by quadrature signals I, Q and input signal INP. Outputs of said pair of mixers M1, M2 are coupled to a pair of low-pass filters L1, L2, the filters providing signals Vi and Vq, respectively. The signal Vi is inputted to a derivation circuit D1. The signal Vq and the signal provided by the derivation circuit D1 are inputted to a third mixer M3, the mixer generating a signal FD, which is indicative for a frequency error between the input signal INP and quadrature signals I, Q. In the above-mentioned document a digital implementation of the analog balanced quadricorrelator, is presented. The digital implementation comprises single edge flip-flops coupled to a combinatorial network. Hence, the flip-flops detects only phase shifts between quadrature inputs and a rising edge of the D input signal, which means that this quadricorrelator works at half rate or  $2 \cdot T_{bit}$ .  $T_{bit}$  is defined as the time period for a high or a low binary level.

Furthermore, the combinatorial part of the quadricorrelator comprises 6 AND gates and 2 OR gates that cause delays or, alternately, phase-shifts between the signals provided by the quadricorrelator.

5

It is therefore an object of this invention to mitigate at least some of the above mentioned problems.

10 In accordance with the invention this is achieved in a device as described in the first paragraph being characterized in that the quadricorrelator comprises a frequency detector including double edge clocked bi-stable circuits coupled to a first multiplexer and to a second multiplexer being controlled by a signal having a same bitrate as the incoming signal, and a phase detector controlled by a first signal pair provided by the first multiplexer and by a second signal pair provided by the second multiplexer. According to the invention, the input information is read on both the rising and falling edges of the clock signal, meaning  
15 that the input information is read every half period of the clock i.e. at Tbit rate. This feature could be implemented either having a direct coupling between the clock signal and bi-stable circuits or using intermediate signals obtained during processing input signal having the same Tbit. This means that bi-stable circuits could be combined with combinatorial circuits having a control input e.g. multiplexers for working at Tbit speed. Furthermore, the phase detector  
20 comprises a double edge bi-stable circuit and therefore it preserves the Tbit speed. It is further observed that a delay of a signal through one bi-stable circuit is expected to be less than a delay through three layers of combinatorial circuits as used in the prior-art.

In an embodiment of the invention the frequency detector comprises a first pair of double edge clocked bi-stable circuits coupled to the first multiplexer and a second  
25 pair of double edge clocked bi-stable circuits coupled to the second multiplexer, which first and second pairs are supplied by mutually quadrature phase shifted signals, respectively, to provide the first signal pair and the second signal pair indicative for a phase difference between the incoming signal and mutually quadrature phase shifted signals. The first multiplexer and the second multiplexer provide a first signal and a second signal indicative  
30 for a phase difference between the incoming signal and mutually quadrature phase shifted signals. The mutually quadrature phase shifted signals are generated by a voltage controlled oscillator. In many applications as optical networking a clock recovery is necessary especially when the clock information is missing from the input signal as in Non Return to Zero (NRZ) signals. Furthermore, clock recovery circuits, which are in fact PLLs having a

quadrature voltage-controlled oscillator providing quadrature signals i.e. mutually shifted with 45 degrees. PLLs also have a phase detector and a frequency detector. The outputs of the multiplexers are updated only on the transitions of the incoming signal maintaining the same error at the output between transitions. The phase difference between the incoming  
5 signal and quadrature clock signals is transformed in a positive or negative quantified signal. When this signal is positive the clock increases its phase and for negative signals, the clock decreases its phase.

In another embodiment of the invention the phase detector comprises a D flip-flop receiving the first signal pair and being clocked by the second signal pair, the second  
10 signal pair being inputted to respective gates of a first transistor pair for determining a state ON or OFF of a current through said first transistor pair. The current through the first transistor pair biases a second transistor pair, the second transistors pair receiving the first signal pair and generating an output signal indicative for a frequency error between the incoming data signal and Clock signals. According to the second signals pair, the current can  
15 flow in the source of the first transistors or can be dumped to Vcc. In equilibrium, a differential output of the frequency detector is zero.

The above and other features and advantages of the invention will be apparent  
20 from the following description of the exemplary embodiments of the invention with reference to the accompanying drawings, in which:

Fig. 1 depicts a prior art quadricorrelator,

Fig. 2 depicts a schematic diagram of digital quadricorrelator according to the  
invention,

25 Fig. 3 depicts rotating wheel analogy for mutually quadrature signals,

Fig. 4 depicts frequency detection algorithm, according to the invention, and

Fig. 5 depicts a PLL having a frequency detector as described in the present  
invention.

30 Fig. 2 depicts a schematic diagram of digital quadricorrelator according to the invention. The quadricorrelator 2 comprises double edge clocked bi-stable circuits 21, 22, 23, 24 coupled to multiplexers 31, 32 being controlled by a signal having the same bit rate as the incoming signal D. A first pair of double edge clocked bi-stable circuits 21, 22 coupled to a

first multiplexer 31 and a second pair of double edge clocked bi-stable circuits 23, 24 coupled to a second multiplexer 32 are supplied by mutually quadrature phase shifted signals CKQ and CKI respectively and providing a first pair of signals PQ,  $\overline{PQ}$  and a second pair of signals PI,  $\overline{PI}$  indicative for a phase difference between the incoming signal D and mutually quadrature phase shifted signals CKQ, CKI. It could be pointed out here that the bi-stable circuits could be flip-flops or latches. For the purpose of illustration in Fig. 2 is shown an implementation using D-type latches. The mutually quadrature signals are generated by a voltage controlled oscillator VCO, shown in Fig. 5.

The combination latch-multiplexer performs as a latch clocked on both transitions of the incoming signal D. The incoming signal D transitions are sampled by the two quadrature signals CKQ and CKI at Tbit rate. The outputs of the multiplexers are updated only on the incoming signal D transitions keeping the same error at the output between transitions. The second signal pair PI,  $\overline{PI}$  is the output of the phase detector and the first pair of signals PQ,  $\overline{PQ}$  is in quadrature with it. The phase difference between the incoming signal D and CKQ, respectively CKI is transformed in a positive or negative quantified signal. When this signal is positive the clock increases its phase and for negative signals, the clock decreases its phase. Let denote the first pair of signals PQ,  $\overline{PQ}$  as Q and the second pair of signals PI,  $\overline{PI}$  as I, and let us further observe that the signals I and Q are differential signals i.e. they are mutually shifted by substantially 180 degrees. The phase detector comprises a D flip-flop DFF receiving the first signal pair Q and being clocked by the second signal pair I. The second signals pair I is inputted to a first transistor pair T1, T2 respective gates for determining a state ON or OFF of a current I0 through said first transistors pair T1, T2. The current I0 is generated by a current source coupled to a common source node of the transistors T1, T2. The current I0 through the first transistor pair T1, T2 biases a second transistor pair T3, T4, the second transistor pair T3, T4 receiving the first signal pair Q and generating an output signal FD+, FD- indicative for a frequency error between the incoming data signal D and Clock signals CKI, CKQ. Let us denote the output signal FD+, FD- as FD. The algorithm can be visualized as shown in Fig. 4. Obviously the four possible cases will converge towards the equilibrium position. Table 1 presents the four situations and can be used to build the logic for the frequency detector.

As shown in Fig. 2, the second signal I is used to clock the D latch DFF, sampling the first signal Q. According to the I values, the current I0 can flow in the source of the first transistor pair T1, T2 or can be dumped to Vcc. In equilibrium, when I is positive the

first transistor pair T1, T2 is not active any longer and the differential output FD of the frequency detector is zero. Now, only the phase detector contributes to the phase correction.

Table 1: Frequency detector logic

PD_I (I vector)	PD_Q (Q vector)	FD
-/+	-1	0
-/+	1	0
+/-	-1	-1
+/-	1	+1

The equilibrium position for the signals I and Q can be represented with the rotating wheel analogy as shown in Fig. 3. When it is a phase lock, the vector I is positive, stable and equal with +1 and the Q vector bounces from the positive to the negative quadrant in a periodic fashion. Frequency error generation signal for the frequency detector is explained with the aid of Fig. 4 and comprises the following steps:

- When I has a negative to positive transition for positive Q vectors, keep the frequency by generating a zero signal at the output of the frequency detector.
- When I has a negative to positive transition for negative Q vectors, keep the frequency by generating a zero signal at the output of the frequency detector.

When I has a positive to negative transition and Q is positive, increase the frequency (FD=+1)

- When I has a positive to negative transition and Q is negative, decrease the frequency (FD=-1).

When the clock is too slow as shown in Fig. 4, the pair of the two quadrature signals I and Q rotate counter-clockwise with an angular frequency equal to the frequency difference  $\Delta\omega$  and the derivative of the signal I falling on top of Q signal generating an error signal.

When the clock is too fast, the pair of the two quadrature signals I and Q rotate clockwise with an angular frequency equal to the frequency difference  $\Delta\omega$  and the derivative of the signal I falling in top of signal Q with 180° phase difference signal generating an error signal.

Fig. 5 depicts a PLL having a frequency detector 10 as described in the present invention. The error signal FD is inputted to a coarse control input C of the voltage controlled oscillator VCO via a first charge pump 20 coupled to a first low-pass filter 30 coupled to a second adder 80. The frequency error signal FD is inputted to the coarse input C of the VCO

because the VCO has to adapt as quickly as possible to frequency differences between the incoming signal D and the quadrature signals CKI and CKQ. A fine control input F of the VCO is controlled by a signal PD provided by a phase detector 70 coupled to a second charge pump 60 coupled to second low-pass filter 50.

5           Once the frequency lock is acquired the output of the frequency detector provides a zero DC signal at the output such that the VCO keeps the frequency information.

          It is remarked that the scope of protection of the invention is not restricted to the embodiments described herein. Neither is the scope of protection of the invention restricted by the reference numerals in the claims. The word 'comprising' does not exclude  
10 other parts than those mentioned in the claims. The word 'a(n)' preceding an element does not exclude a plurality of those elements. Means forming part of the invention may both be implemented in the form of dedicated hardware or in the form of a programmed purpose processor. The invention resides in each new feature or combination of features. Throughout the description it was assumed that the signals I, Q and F are binary signals having an ON  
15 state represented by a +1 value and an OFF state represented by a -1 value.



## CLAIMS:

1. A Phase Locked Loop comprising a frequency detector including an unbalanced quadricorrelator, the quadricorrelator comprising a frequency detector including double edge clocked bi-stable circuits coupled to a first multiplexer and to a second multiplexer being controlled by a signal having a same bitrate as the incoming signal, and a phase detector controlled by a first signal pair provided by the first multiplexer and by a second signal pair provided by the second multiplexer.
2. A Phase Locked Loop as claimed in claim 1, wherein the frequency detector comprises a first pair of double edge clocked bi-stable circuits coupled to the first multiplexer, and a second pair of double edge clocked bi-stable circuits coupled to the second multiplexer, which first and second pairs are supplied by mutually quadrature phase shifted signals respectively to provide the first signal pair and the second signal pair indicative for a phase difference between the incoming signal and mutually quadrature phase shifted signals.
3. A Phase Locked Loop as claimed in claim 1, wherein the phase detector comprises a D flip-flop receiving the first signal pair and being clocked by the second signal pair, the second signal pair being inputted to respective gates of a first transistors pair for determining a state ON or OFF of a current through said first transistors pair.
4. A Phase Locked Loop as claimed in claim 3, wherein current through the first transistor pair biases a second transistor pair, the second transistor pair receiving the first signal pair and generating an output signal indicative for a frequency error between the incoming data signal and Clock signals.
5. A Phase Locked Loop as claimed in claim 2, wherein the mutually quadrature phase shifted signals are generated by a voltage controlled oscillator.

6. A Phase Locked Loop as claimed in 5, wherein the error signal is inputted to a coarse control input of the voltage controlled oscillator via a first charge pump coupled to a first low-pass filter coupled to an adder.
- 5 7. A Phase Locked Loop as claimed in claim 6, wherein a fine control input is controlled by a signal provided by a phase detector coupled to a second charge pump coupled to second low-pass filter.

## ABSTRACT:

A Phase Locked Loop (1) comprising a frequency detector (10) including an unbleached quadricorrelator (2), the quadricorrelator (2) comprising a frequency detector including double edge clocked bi-stable circuits (21, 22, 23, 24) coupled to a first multiplexer (31) and to a second multiplexer (32) being controlled by a signal having a same bitrate as the incoming signal (D), and a phase detector (DFF) controlled by a first signal pair (PQ,  $\overline{PQ}$ ) provided by the first multiplexer (31) and by a second signal pair (PI,  $\overline{PI}$ ) provided by the second multiplexer (32).

Fig. 2

1/3

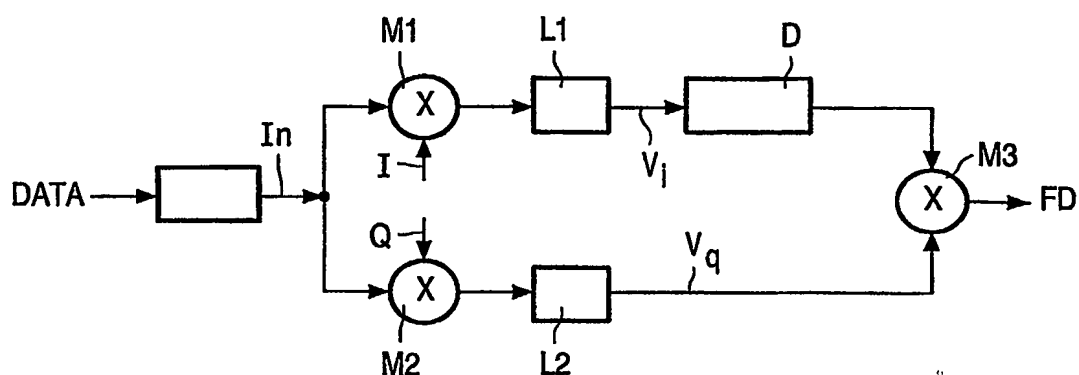


FIG. 1

2

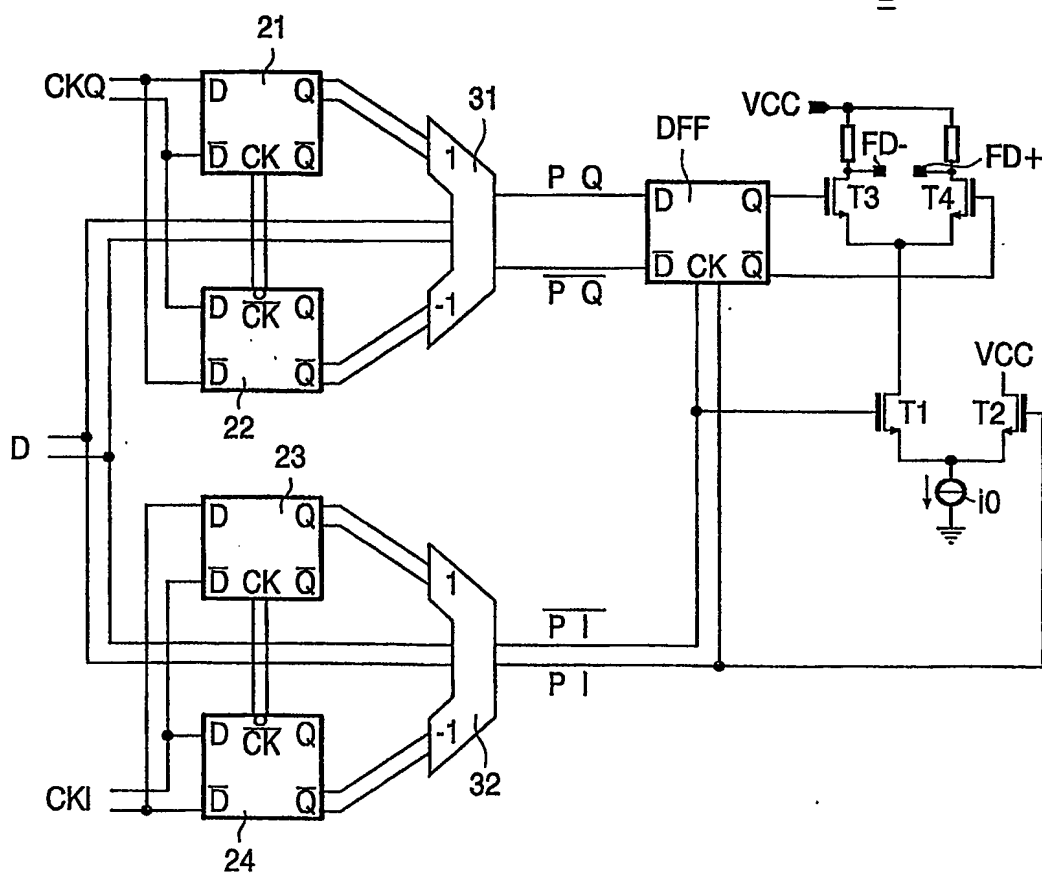


FIG. 2

2/3

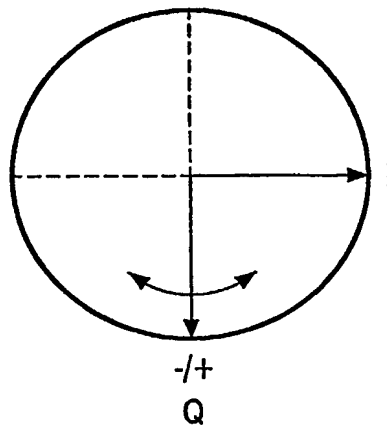


FIG. 3

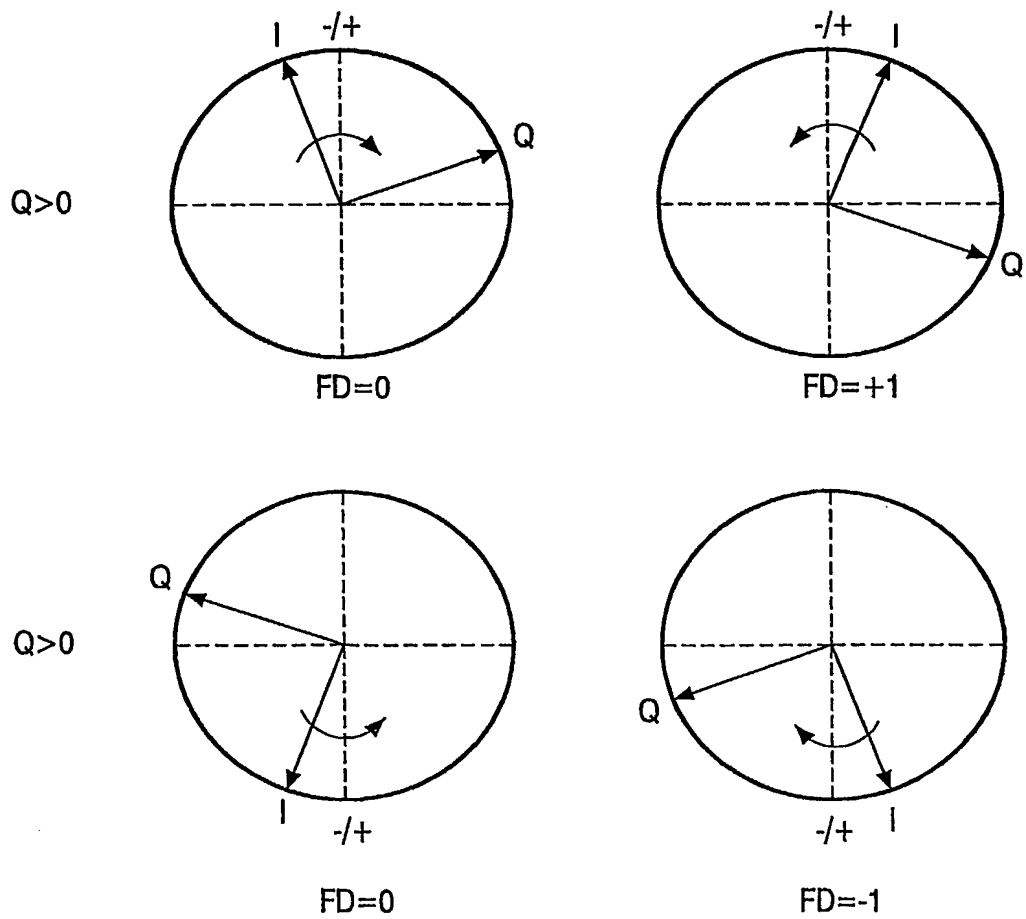


FIG. 4

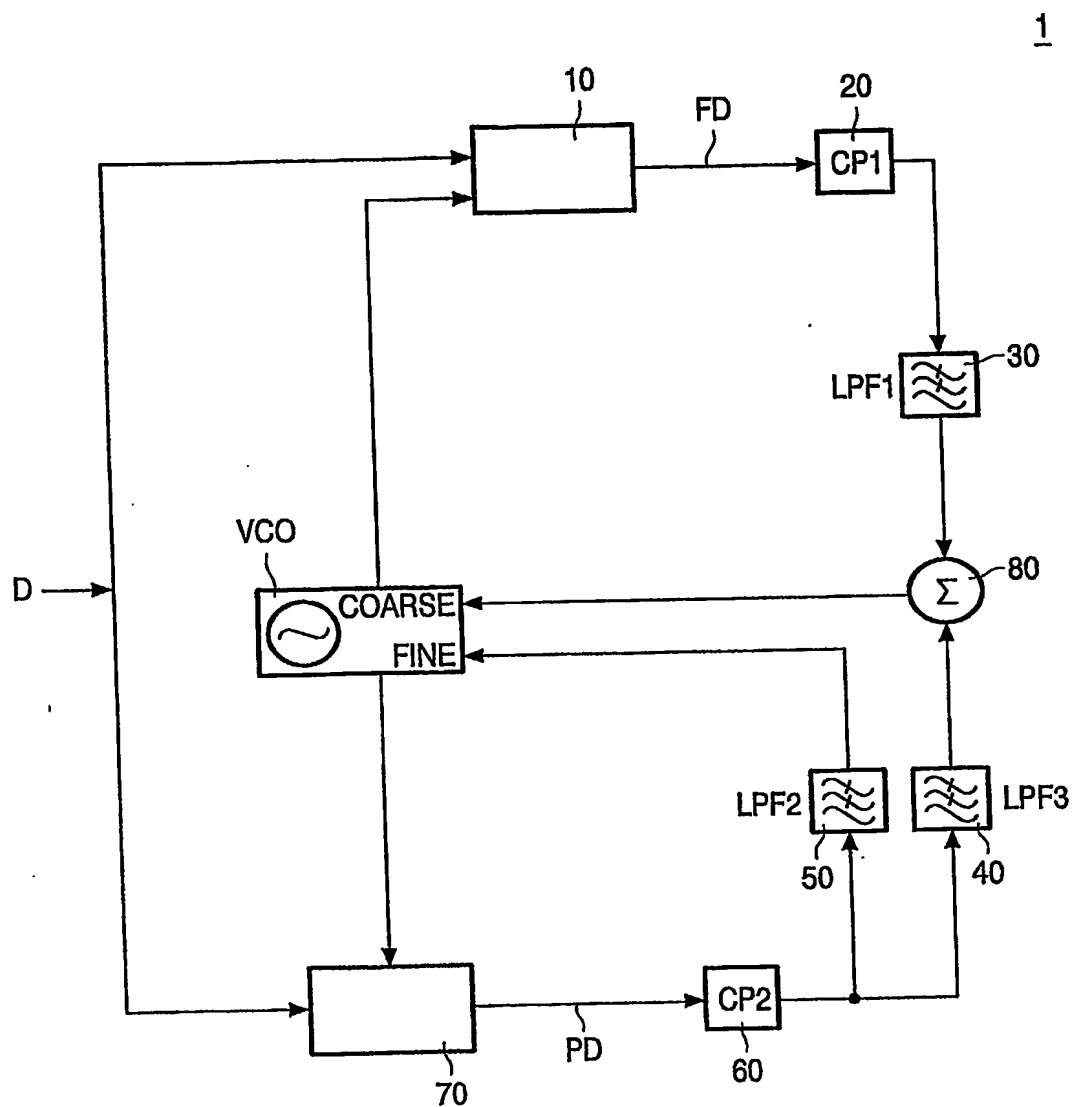


FIG. 5

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☒ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☒ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**